Notice of Allowability	Application No.	Applicant(s)
	10/760,586	HAYANO, FUMINORI
	Examiner	Art Unit
	Isiaka O. Akanbi	2877
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in or other appropriate comm IGHTS. This application is:	ith the correspondence address n this application. If not included
1. This communication is responsive to <u>27 October 2006</u> .		•
2. The allowed claim(s) is/are <u>1-32</u> .		
<ol> <li>Acknowledgment is made of a claim for foreign priority unally all b) Some* c) None of the:</li> <li>Certified copies of the priority documents have</li> <li>Certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> <li>Brown and the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority documents have</li> </ol>	been received. been received in Application	on No
Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file ENT of this application.	a reply complying with the requirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submi INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>	tted. Note the attached EXA reason(s) why the oath or	AMINER'S AMENDMENT or NOTICE OF declaration is deficient.
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.	
<ul><li>(a) ☐ including changes required by the Notice of Draftsperso</li></ul>	on's Patent Drawing Review	v ( PTO-948) attached
1) ∐ hereto or 2) ☐ to Paper No./Mail Date		
<ul><li>(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date</li></ul>		
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the	ie neader according to 37 CF	R 1.121(d).
<ol> <li>DEPOSIT OF and/or INFORMATION about the depose attached Examiner's comment regarding REQUIREMENT F</li> </ol>	it of BIOLOGICAL MATE FOR THE DEPOSIT OF BIO	ERIAL must be submitted. Note the DLOGICAL MATERIAL.
c		
Attachment(s) 1. ⊠ Notice of References Cited (PTO-892)	_	
2. Notice of References Cited (PTO-892)  Provided in References Cited (PTO-892)  Review (PTO-948)		ormal Patent Application
	Paper No./I	ımmary (PTO-413), Mail Date
<ol> <li>Information Disclosure Statements (PTO/SB/08),</li> <li>Paper No./Mail Date</li> </ol>	7. 🛭 Examiner's /	Amendment/Comment
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛭 Examiner's S	Statement of Reasons for Allowance
	9.	
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### **DETAILED ACTION**

#### Amendment

The amendment file 27 October 2006 has been entered into this application.

# Response to Arguments

Applicant's arguments/remarks, see pages 12-14, filed 27 October 2006, with respect to the rejection(s) of claim(s) 1 under 35 U.S.C. 112, second par., and claim (s) 1--32 under 35 U.S.C. 101 have been fully considered and are persuasive. The amended claims provide an output from which a user can determine whether the substrate suffers from wafer-induced-shift, as recited in independent claims 1, 8, 16 and 23 is a tangible result. Therefore, the rejections have been withdrawn.

## Examiner's Reasons for Allowance

Claims 1-32 are allowed over the prior art of record.

The following is an examiner's statement of reasons for allowance:

As to claims 1 and 16, the prior art of record, taken alone or in combination, fails to disclose or render obvious calculates a relationship between changes in overlay deviation values and changes in focus position of the substrate for a plurality of sets of the first and second marks that are provided on the substrate and generates a user-readable output based on the calculated relationship, the user-readable output enabling the user to determine whether the substrate suffers from wafer-induced-shift, in combination with the rest of the limitations of the claim. Claims 2-7 and 17-22 are allowable by virtue of their dependency.

As to claims 8 and 23, the prior art of record, taken alone or in combination, fails to disclose or render obvious calculates a relationship between changes in overlay deviation values and changes in focus position based upon the determined first and second overlay deviation values and the first and second focus positions, and generates a user-readable output based on the calculated relationship, the user-readable output enabling the user to determine whether the substrate suffers from wafer-induced-shift, in combination with the rest of the limitations of the claim. Claims 9-15 and 24-30 are allowable by virtue of their dependency.

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As to claim 31, the prior art of record, taken alone or in combination, fails to disclose or render obvious calculating a vector-trend illustrating a relationship between changes in overlay deviation values and changes in focus position of the substrate for a plurality of sets of the first and second marks that are provided on the substrate, and, and generates a user-readable output based on the calculated relationship, the user-readable output enabling the user to determine whether the substrate suffers from wafer-induced-shift, in combination with the rest of the limitations of the claim.

As to claim 32, the prior art of record, taken alone or in combination, fails to disclose or render obvious calculating first and second relationship between changes in overlay deviation values and changes in focus position of first and second substrate for a plurality of sets of the first and second marks that are provided on the second substrate and determining a shift in wafer-induced-shift by comparing the first relationship with the second relationship, and generating a user-readable output based on the determined shift in wafer- induced-shift, the user-readable output enabling the user to determine whether the substrate suffers from wafer-induced-shift., in combination with the rest of the limitations of the claim.

## **Additional Prior Art**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The references listed in the attached form PTO-892 teach of other prior art method/apparatus for analyzing overlay deviation in alignment.

#### Conclusion

# Fax/Telephone Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Isiaka Akanbi whose telephone number is (571) 272-8658. The examiner can normally be reached on 8:00 a.m. - 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory J. Toatley Jr. can be reached on (571) 272-2059. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Isiaka Akanbi January 3, 2007

Gregory, Tolestor, Tr. Supervision, Patent Examiner